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Docket No.: 025220-411A-US02
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Kenton T. Davis, et al.

Application No.: 09/863,791-9440

Group Art Unit: 2154

Filed: May 24, 2001

Examiner: Not Yet Assigned

For: ELEMENT MANAGEMENT SYSTEM FOR
HETEROGENEOUS
TELECOMMUNICATIONS NETWORK

TRANSMITTAL LETTER

Attn: Box Missing Parts

Commissioner for Patents
Washington, DC 20231

Dear Sir:

Enclosed are the following items for filing in connection with the above-referenced
Patent Application:

1. Response to the Notice to File Missing Parts of Application (in duplicate);
2. Copy of the Notice to File Missing Parts of Nonprovisional Application;
3. Fee Transmittal;
4. Check No. 2965 in the amount of \$460 for:
\$355 basic filing fee;
\$40 additional claims fee;
\$65 late filing fee; and
5. Return receipt postcard.

The Commissioner is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 50-0740, under Docket No. 025220.411A-US02. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned. A duplicate copy of this paper is enclosed.

Dated: August 27, 2001

Respectfully submitted,

By 

Grady L. White

Registration No.: 40,874
COVINGTON & BURLING
1201 Pennsylvania Avenue, N.W.
Washington, DC 20004-2401
(202) 662-6000



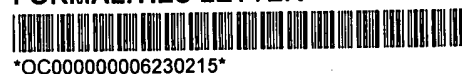
UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/863,791	05/24/2001	Kenton T. Davis	25220.411A-US02

CONFIRMATION NO. 9440

FORMALITIES LETTER



OC000000006230215

Covington & Burling
Patent Docketing
1201 Pennsylvania Avenue, NW
Washington, DC 20004-2401

Date Mailed: 06/27/2001



NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- o The statutory basic filing fee is missing.
Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- o Total additional claim fee(s) for this application is \$40.
 - \$40 for 1 independent claims over 3 .
- o To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- o **The balance due by applicant is \$ 460.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

08/29/2001 BABRAHA1 00000060 09863791

01 FC:201	355.00 OP
02 FC:202	40.00 OP
03 FC:205	65.00 OP



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(PATENT)

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In re Patent Application of:
Kenton T. Davis, et al.

Application No.: 09/863,791-9440

Group Art Unit: 2154

Filed: May 24, 2001

Examiner: Not Yet Assigned

For: ELEMENT MANAGEMENT SYSTEM FOR
HETEROGENEOUS
TELECOMMUNICATIONS NETWORK

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Box Missing Parts

Commissioner for Patents
Washington, DC 20231

Dear Sir:

In response to the Notice to File Missing Parts of Application – Filing Date Granted
mailed June 27, 2001, Applicant respectfully submits:

1. Copy of the Notice to File Missing Parts of Nonprovisional Application;
2. Fee Transmittal;
3. Check No. 2965 in the amount of \$460 for:
\$355 basic filing fee;
\$40 additional claims fee;
\$65 late filing fee; and
4. Return receipt postcard.

The Commissioner is hereby authorized to charge any deficiency in the fees filed,
asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in
this application by this firm) to our Deposit Account No. 50-0740, under Docket No.

025220.411A-US02. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned. A duplicate copy of this paper is enclosed.

Dated: August 27, 2001

Respectfully submitted,

By 

Grady L. White

Registration No.: 40,874
COVINGTON & BURLING
1201 Pennsylvania Avenue, N.W.
Washington, DC 20004-2401
(202) 662-6000

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

Complete if Known

Application Number	09/863,791-9440
Filing Date	May 24, 2001
First Named Inventor	Kenton T. Davis
Examiner Name	Not Yet Assigned
Group Art Unit	2154
Attorney Docket No.	025220-411A-US02

TOTAL AMOUNT OF PAYMENT (\$ 460.00)

METHOD OF PAYMENT1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 50-0740

Deposit Account Name

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17☒ Applicant claims small entity status. See 37 CFR 1.272. ☒ Payment Enclosed☒ Check ☐ Credit Card ☐ Money Order ☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	710	201	355	Utility filing fee	355.00
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1) (\$ 355.00)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
10	-20 = 0	X	
Independent Claims	4	-3 = 1	X 40.00 = 40.00
Multiple Dependent			

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 40.00)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge - late filing fee or oath	65.00
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt.	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR 1.129(a))	
149	710	249	355	For each additional invention to be examined (37CFR 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 65.00)

SUBMITTED BY**Complete (if applicable)**

Name (print/type)	Grady L. White	Registration No. (Attorney/Agent)	40,874	Telephone	(202) 662-6000
Signature		Date	August 27, 2001		

